

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview

1. **Date of Submission:** 2011-02-28
2. **Agency:** 393
3. **Bureau:** 00
4. **Name of this Investment:** Electronic Records Archive (ERA)
5. **Unique Project (Investment) Identifier (UPI):** 393-00-01-03-01-0001-00
6. **What kind of investment will this be in FY 2012?:** Operations and Maintenance
 - Planning
 - Full Acquisition
 - Operations and Maintenance
 - Mixed Life Cycle
 - Multi-Agency Collaboration
7. **What was the first budget year this investment was submitted to OMB?** FY2002

8.
 - a. **Provide a brief summary of the investment and justification, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.**

The Electronic Records Archives (ERA) program is NARA's response to the challenge of preserving and providing access to electronic records. The goal of ERA is to provide access to all types of electronic records via the Internet by anyone, anywhere, at any time across time and technology. During FY 2008, the program achieved Initial Operating Capability, enabling officials at NARA and four other Federal agencies to perform records scheduling functions and transfer records into the system. NARA also developed content search and special access request case management in support of electronic records that were transferred from the Executive Office of the President (EOP) at the end of the George W. Bush Administration. During FY 2009, ERA deployed the EOP and developed plans for bringing on additional agencies. In addition, NARA began to develop capabilities for public access and frameworks for long-term preservation of selected data types. During FY 2010, NARA brought 16 new agencies onto the system, deployed an instance to store and provide access to electronic records of the Congress, and developed prototypes for Online Public Access and Preservation capabilities. NARA also implemented new technologies that will make the system more flexible. During FY 2011, NARA will make the system available to any agencies that wish to use it, make the OPA instance available to the public, finish design of the infrastructure needed to provide robust preservation capabilities, analyze options for the design of a secure instance for classified Federal records and other restricted records, analyze tools for managing restricted information, extend search capabilities, and identify strategies for more efficient storage mechanisms to manage the increasingly large volume of data planned to be ingested into the system. In FY 2012, the system will move into its operational phase. ERA will become mandatory for all Federal agencies transferring records to NARA. In FY 2012 funds will be used to support ongoing maintenance, operations and support of the existing ERA, EOP, public access and Congressional instances at Rocket Center, WV.

- b. **Provide any links to relevant websites that would be useful to gain additional information on the investment including links to GAO and IG reports.**

Title	Link

National Archives: Progress and Risks in Implementing its Electronic Records Archive Initiative	http://www.gao.gov/products/GAO-10-222T
Electronic Records Archive: The National Archives and Records Administration's Fiscal Year 2009 Expenditure Plan	http://www.gao.gov/products/GAO-09-733
Information Management: The National Archives and Records Administration's Fiscal Year 2008 Expenditure Plan	http://www.gao.gov/products/GAO-08-1105
The National Archives and Records Administration's Fiscal Year 2007 Expenditure Plan	http://www.gao.gov/new.items/d07987.pdf
GAO Reports on NARA's High Risks	http://www.gao.gov/highrisk/agency/nara
ERA: The National Archives and Record Administration's FY 2006 Expenditure Plan	http://www.gao.gov/new.items/d06906.pdf
Acquisition of the Electronic Records Archives Is Progressing	http://www.gao.gov/highlights/d05802high.pdf
Planning for the Electronic Records Archives Has Improved	http://www.gao.gov/products/GAO-04-927
Testimony before the House Subcommittee on Technology, Information, Intergovernmental Relations, and the Census	http://www.gao.gov/new.items/d03936t.pdf
National Archives and Records Administration's Acquisition of Major System Faces Risks	http://www.gao.gov/products/GAO-03-880
The Challenge of Electronic Records Management: Testimony given before the House Subcommittee on Government Management, Information, and Technology	http://www.gao.gov/new.items/gg00024t.pdf
OIG Semiannual Report to Congress	http://www.archives.gov/oig/pdf/2009/semiannual-congress-09-2009.pdf
OIG Semiannual Report to Congress	http://www.archives.gov/oig/pdf/2009/march-2009.pdf
Advisory Report No. 09-03, OIG Monitoring of the Electronic Records Archives Program Status	http://www.archives.gov/oig/pdf/2009/advisory-report-09-03.pdf
Advisory Report No. 09-11, OIG Monitoring Electronic Records Archives Program Status	http://www.archives.gov/oig/pdf/2009/advisory-report-09-11.pdf
OIG Report no. 08-08, Review of Electronic Records Archives Contract Direct Labor Costs	http://www.archives.gov/oig/pdf/2008/audit-report-08-08.pdf
OIG Report no. 08-11, Review of Subcontract Costs on the Electronic Records Archives Development Contract	http://www.archives.gov/oig/pdf/2008/audit-report-08-11.pdf
Management Letter #07-11, The Independent and Objective Oversight of the Electronic Records Archive Program	http://www.archives.gov/oig/pdf/audit-report-07-11.pdf
Privacy Impact Assessment (PIV-7)	http://www.archives.gov/foia/privacy-program/privacy-impact-assessments/era-pia.pdf

9.

- a. **Provide the date of the Agency's Executive/Investment Committee approval of this investment.**
2010-09-07
- b. **Provide the date of the most recent or planned approved project charter.** 2005-11-15

10. **Contact information?**

- a. **Program/Project Manager Name:** *
- Phone Number:** *

Email: *

b. **Business Function Owner Name (i.e. Executive Agent or Investment Owner):** Carmen Colon

Phone Number: *

Email: *

11. What project management qualifications does the Project Manager have? (choose only one per FAC-P/PM or DAWIA): Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.

- Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.B.1: Summary of Funding

(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Planning & Acquisition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition(DME):	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
Operations, Maintenance, Disposition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal O&M and Disposition Costs (SS):	*	*	*	*	*	*	*	*	*
TOTAL FTE Costs	*	*	*	*	*	*	*	*	*
TOTAL (not including FTE costs):	*	*	*	*	*	*	*	*	*
TOTAL (including FTE costs):	*	*	*	*	*	*	*	*	*
Number of FTE represented by	*	*	*	*	*	*	*	*	*

Table I.B.1: Summary of Funding
(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Costs:									

2. Insert the number of years covered in the column “PY-1 and earlier”: 8

3. Insert the number of years covered in the column “BY+4 and beyond”: *

4. If the summary of funding has changed from the FY 2011 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Competed	Short description of acquisition
Awarded	8800	NAMA--04-C-0007			*	*	\$317.4	Cost Plus Award Fee	Y	2004-08-03	2011-09-30	Y	Development, build, deployment, and maintenance of Electronic Record Archive
Awarded	8800	NAMA-05-F-0061	GS35F4506G		*	*	\$10.7	Time and Materials	Y	2005-06-07	2011-06-14	N	Independent Verification and Validation Services (IV&V)
Awarded	8800	NAMA-07-F-0032	GS35F0325R		*	*	\$18.2	Labor Hours	N	2007-04-10	2012-04-09	Y	Program Office IT Support Services.
Awarded		NAMA-06-I-0016			*	*	\$1.7	Other (none of the above)	N	2006-03-14	2010-09-30	Not Competed	Provides joint research efforts for the long term retention of electronic record

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
Awarded		NAMA-07-I-0029			*	*	\$2.8	Other (none of the above)	N	2007-07-01	2011-09-30	Not Completed	Provides joint research between NARA and NETL
Awarded		NAMA-07-F-0015	GS-35-F-0522-J		*	*	\$4.3	Time and Materials	N	2007-02-07	2011-09-30	N	Information Technology and Engineering Support Services for NARA ERA Operations Center and Laboratory at ABL.
Awarded		NAMA-08-I -0025	NSF R and D Interagency Agreement		*	*	\$10.4	Other (none of the above)	N	2008-06-01	2012-12-31	Completed under SAP	Provides research in computer science and engineering to address NARA's critical requirements of electronic records Provides joint research between NARA and NETL

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
Awarded		NAMA-09-I-0026	NSF R and D Interagency Agreement		*	*	\$4.3	Other (none of the above)	N	2009-08-24	2014-04-30	Completed under SAP	Provides research to address challenges in processing of large Federal electronic record collections serving National Security and Defense

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3.

- a. Has an Acquisition Plan been developed? If yes, please answer the questions that follow *
- b. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1 *
- c. Was the Acquisition Plan approved in accordance with agency requirements *
- d. If "yes," enter the date of approval? *
- e. Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan? *
- f. Does the acquisition plan meet the requirements of EOs 13423 and 13514? *
- g. If an Acquisition Plan has not been developed, provide a brief explanation.

*

Part II: IT Capital Investments

Section A: General

1.
 - a. Confirm that the IT Program/Project manager has the following competencies: configuration management, data management, information management, information resources strategy and planning, information systems/network security, IT architecture, IT performance assessment, infrastructure design, systems integration, systems life cycle, technology awareness, and capital planning and investment control. yes
 - b. If not, confirm that the PM has a development plan to achieve competencies either by direct experience or education.
2. Describe the progress of evaluating cloud computing alternatives for service delivery to support this investment. A cloud computing alternative is included in the Alternatives Analysis to be completed by 9/2011.
3. Provide the date of the most recent or planned Quality Assurance Plan 2007-04-10
4.
 - a. Provide the UPI of all other investments that have a significant dependency on the successful implementation of this investment. 393-00-01-03-02-0006-00,393-00-01-03-02-0002-00
 - b. If this investment is significantly dependent on the successful implementation of another investment(s), please provide the UPI(s). 393-00-02-00-01-0008-00
5. An Alternatives Analysis must be conducted for all Major Investments with Planning and Acquisition (DME) activities and evaluate the costs and benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request. Provide the date of the most recent or planned alternatives analysis for this investment. 2008-05-11
6. Risks must be actively managed throughout the lifecycle of the investment. The Risk Management Plan and risk register must be available to OMB upon request. Provide the date that the risk register was last updated. 2010-08-02

Section B: Cost and Schedule Performance

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
1.1.1 Project Management and Oversight - FY 2004 Planning	DME	*	\$3.5	\$3.5	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
1.1.2 Project Management and Oversight - FY 2004 (Acquisition)	DME	*	\$4.9	\$4.9	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
1.1.3 Project Management and Oversight - FY 2005	DME	*	\$10.1	\$10.1	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
1.1.4 Project Management and Oversight - FY 2006	DME	*	\$5.5	\$5.5	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
1.1.5 Project Management and Oversight - FY 2007	DME	*	\$6.9	\$6.9	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
1.1.6 Project Management and Oversight - FY 2008	DME	*	\$8.2	\$8.2	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
1.1.7 Project Management and Oversight - FY 2009	DME	*	\$8.3	\$8.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
1.4 Concept Exploration	DME	*	\$20.7	\$20.7	2002-09-02	2002-09-02	2004-08-23	2004-08-23	100.00%	100.00%
1.5.1 Systems Analysis and Design - Planning	DME	*	\$2.2	\$2.2	2004-08-24	2004-08-24	2005-01-02	2005-05-31	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
1.5.2 Systems Analysis and Design - Development	DME	*	\$17.9	\$17.9	2004-08-24	2004-08-24	2005-05-31	2005-05-31	100.00%	100.00%
1.6.1 Integrated Deployment and Support - Stennis Operational Site	SS	*	\$3.1	\$3.1	2005-10-01	2005-10-01	2009-09-30	2009-09-30	100.00%	100.00%
1.6.4 Integrated Deployment and Support - Government Acceptance Test Site	SS	*	\$0.2	\$0.2	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
1.7.1.1 System Development Increment 1 - Release 1 FY05	DME	*	\$23.1	\$23.1	2005-08-30	2005-09-08	2007-05-25	2007-08-10	100.00%	100.00%
1.7.1.2 System Development Increment 1 - Release 1 FY06	DME	*	\$17.0	\$17.0	2005-08-30	2005-09-08	2007-05-25	2008-06-25	100.00%	100.00%
1.7.1.3 System Development Increment 1 - Release 2 (IOC) FY07	DME	*	\$30.2	\$30.2	2005-08-30	2005-09-08	2007-05-25	2008-06-25	100.00%	100.00%
1.7.1.4 System Development Increment 1 - Release 2 (IOC) FY08	DME	*	\$9.9	\$9.9	2005-08-30	2005-09-08	2007-05-25	2008-06-25	100.00%	100.00%
1.7.2.1 System Development Increment 2 - Development FY08	DME	*	\$17.8	\$17.8	2007-11-01	2007-11-01	2009-03-31	2009-03-31	100.00%	100.00%
1.7.2.2 System	DME	*	\$7.5	\$7.5	2007-11-01	2007-11-01	2009-03-31	2009-03-31	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Development Increment 2 - Development FY09										
1.7.2.3 System Development Increment 2 - Operations and Support FY 08	SS	*	\$3.6	\$3.6	2008-03-28	2008-03-28	2009-03-31	2009-03-31	100.00%	100.00%
1.7.2.4 System Development Increment 2 - Operations and Support FY09	SS	*	\$3.3	\$3.3	2008-03-28	2008-03-28	2009-03-31	2009-03-31	100.00%	100.00%
1.7.2.5 System Development Increment 2 - Change Requests FY08	DME	*	\$0.2	\$0.2	2008-01-23	2008-01-23	2009-03-31	2009-03-31	100.00%	100.00%
1.7.2.6 System Development Increment 2 -Search and Access Support Staff FY09	DME	*	\$0.4	\$0.4	2008-01-23	2008-01-23	2009-03-31	2009-03-31	100.00%	100.00%
1.7.2.7 System Development Increment 2 - Materials FY08	DME	*	\$8.2	\$8.2	2008-03-28	2008-03-28	2009-03-31	2009-03-31	100.00%	100.00%
1.7.2.8 System Development Increment 2 - Materials FY09	DME	*	\$0.4	\$0.4	2008-03-28	2008-03-28	2009-03-31	2009-03-31	100.00%	100.00%
1.7.2.9 System Development Increment 2 - Award Fee FY08	DME	*	\$1.9	\$1.9	2007-11-01	2007-11-01	2009-03-31	2009-03-31	100.00%	100.00%
1.7.2.10 System	DME	*	\$1.3	\$1.3	2007-11-01	2007-11-01	2009-03-31	2009-03-31	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Development Increment 2 - Award Fee FY09										
1.7.3.1 System Development Increment 3 - Increment 3 Development FY09	DME	*	\$10.8	\$10.8	2009-04-01	2009-04-01	2009-09-30	2009-09-30	100.00%	100.00%
1.7.3.3 System Development Increment 3 - Annual Program Execution FY09	DME	*	\$5.0	\$5.0	2009-04-01	2009-04-01	2009-09-30	2009-09-30	100.00%	100.00%
1.7.3.4 System Development Increment 3 - Annual Program Execution FY10	DME	*	\$3.4	\$3.4	2009-10-01	2009-09-30	2010-03-31	2010-03-31	100.00%	100.00%
1.7.3.5 System Development Increment 3 - Increment 3 Bill of Materials FY09	DME	*	\$7.3	\$7.3	2009-04-01	2009-04-01	2009-09-30	2009-09-30	100.00%	100.00%
1.7.3.7 System Development Increment 3 -Additional services (Change Requests) FY09	DME	*	\$0.1	\$0.1	2009-04-01	2009-04-01	2009-09-30	2009-09-30	100.00%	100.00%
1.7.3.9 Systems Development Increment 3 -Increment 3 Operations and Support FY09	SS	*	\$3.3	\$3.3	2009-04-01	2009-04-01	2009-09-30	2009-09-30	100.00%	100.00%
1.7.3.10 Systems Development Increment 3	SS	*	\$1.5	\$1.5	2009-10-01	2009-09-30	2010-03-31	2010-03-31	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
-Increment 3 Operations and Support FY10										
1.7.3.11 Systems Development Increment 3 - Increment 3 Operations and Support Materials FY09	SS	*	\$1.5	\$1.5	2009-04-01	2009-04-01	2009-09-30	2009-09-30	100.00%	100.00%
1.7.3.12 Systems Development Increment 3 - Increment 3 Operations and Support Materials FY10	SS	*	\$0.6	\$0.6	2009-10-01	2009-09-30	2010-03-31	2010-03-31	100.00%	99.85%
1.7.3.13 Systems Development Increment 3 -Increment 3 Search and Access Support FY09	DME	*	\$0.5	\$0.5	2009-04-01	2009-04-01	2009-09-30	2009-09-30	100.00%	100.00%
1.7.3.15 Systems Development Increment 3 - Award Fee FY09	DME	*	\$1.0	\$1.0	2009-04-01	2009-04-01	2009-09-30	2009-09-30	100.00%	100.00%
1.1.8 Project Management and Oversight - FY 2010	DME	*	\$9.5	\$9.5	2009-10-01	2009-10-01	2010-09-30	2010-09-30	100.00%	100.00%
1.1.9 Project Management and Oversight - FY 2011	DME	*	\$5.2	\$2.8	2001-10-01	2010-10-01	2011-09-30		83.00%	83.00%
1.2 Research Development	DME	*	\$28.6	\$28.7	2003-10-01	2003-10-01	2011-09-30		83.00%	83.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
1.2 Research O&M	SS	*	\$22.5		2011-10-01		2020-09-30		0.00%	0.00%
1.6.2 Integrated Deployment and Support - Rocket Center Operational Site	SS	*	\$29.7	\$15.3	2005-10-01	2005-10-01	2020-09-30		33.00%	33.33%
1.7.3.2 System Development Increment 3 - Increment 3 Development FY10	DME	*	\$13.1	\$13.1	2009-10-01	2009-09-30	2010-03-31		100.00%	99.00%
1.7.3.8 System Development Increment 3 -Additional services (Change Requests) FY10	DME	*	\$0.0	\$0.0	2009-10-01	2009-09-30	2010-03-31		99.00%	99.55%
1.7.3.14 Systems Development Increment 3 -Increment 3 Search and Access Support FY10	DME	*	\$0.0	\$0.0	2009-10-01	2009-09-30	2010-03-31	2010-03-31	100.00%	100.00%
1.7.3.16 Systems Development Increment 3 - Award Fee FY10	DME	*	\$2.9	\$2.9	2009-10-01	2009-09-30	2010-03-31	2010-03-31	100.00%	100.00%
1.7.4.1 Systems Development Increment 4	DME	*	\$17.9	\$17.9	2010-04-01	2010-03-31	2010-09-30		100.00%	99.00%
1.7.4.2 Operations and Maintenance - Increment 4	SS	*	\$4.1	\$4.1	2010-04-01	2010-04-01	2010-09-30	2010-09-30	100.00%	100.00%
1.7.5 Systems	DME	*	\$44.0	\$29.1	2010-10-01	2010-10-01	2011-09-30		73.19%	76.12%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Development Increment 5										
1.7.6.1 Operations and Maintenance - FY 2011	SS	*	\$32.1	\$9.3	2010-10-01	2010-10-01	2011-09-30		29.27%	30.10%
1.7.6.2 Operations and Maintenance - FY 2012	SS	*	\$36.8		2011-10-01		2012-09-30		0.00%	0.00%
1.7.6.3 Operations and Maintenance - FY 2013	SS	*	*	*	2012-10-01	*	2013-09-30	*	*	*
1.7.6.4 Operations and Maintenance - FY 2014	SS	*	*	*	2013-10-01	*	2014-09-30	*	*	*
1.7.6.5 Operations and Maintenance - FY 2015	SS	*	*	*	2014-10-01	*	2015-09-30	*	*	*
1.7.6.6 Operations and Maintenance - FY 2016	SS	*	*	*	2015-10-01	*	2016-09-30	*	*	*
1.7.6.7 Operations and Maintenance - FY 2017	SS	*	*	*	2016-10-01	*	2017-09-30	*	*	*
1.7.6.8 Operations and Maintenance - FY 2018	SS	*	*	*	2017-10-01	*	2018-09-30	*	*	*
1.7.6.9 Operations and Maintenance - FY 2019	SS	*	*	*	2018-09-30	*	2019-10-01	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
1.7.6.10 Operations and Maintenance - FY 2020	SS	*	*	*	2019-10-01	*	2020-09-30	*	*	*
1.1.10 Project Management and Oversight - FY 2012	SS	*	\$3.7		2011-10-01		2012-09-30		0.00%	
1.1.11 Project Management and Oversight - FY 2013	SS	*	*	*	2012-10-01	*	2013-09-30	*	*	*
1.1.12 Project Management and Oversight - FY 2014	SS	*	*	*	2013-10-01	*	2014-09-30	*	*	*
1.1.13 Project Management and Oversight - FY 2015	SS	*	*	*	2014-10-01	*	2015-09-30	*	*	*
1.1.14 Project Management and Oversight - FY 2016	SS	*	*	*	2015-10-01	*	2016-09-30	*	*	*
1.1.15 Project Management and Oversight - FY 2017	SS	*	*	*	2016-10-01	*	2017-09-30	*	*	*
1.1.16 Project Management and Oversight - FY 2018	SS	*	*	*	2017-10-01	*	2018-09-30	*	*	*
1.1.17 Project Management and Oversight - FY 2019	SS	*	*	*	2018-10-01	*	2019-09-30	*	*	*
1.1.18 Project	SS	*	*	*	2019-10-01	*	2020-09-30	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Management and Oversight - FY 2020										

2. If the investment cost, schedule, or performance variances are not within 10 percent of the current baseline, provide a complete analysis of the reasons for the variances, the corrective actions to be taken, and the most likely estimate at completion.

3. For mixed lifecycle or operations and maintenance investments an Operational Analysis must be performed annually. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements. The details of the analysis must be available to OMB upon request. Insert the date of the most recent or planned operational analysis.

2010-09-10

4. Did the Operational analysis cover all 4 areas of analysis: Customer Results, Strategic and Business Results, Financial Performance, and Innovation?

yes

Section C: Financial Management Systems

Table II.C.1: Financial Management Systems			
System(s) Name	System acronym	Type of Financial System	BY Funding
*	*	*	*

Section D: Multi-Agency Collaboration Oversight (For Multi-Agency Collaborations only)

Table II.D.1. Customer Table:	
Customer Agency	Joint exhibit approval date
NONE	

Table II.D.2. Shared Service Providers		
Shared Service Provider (Agency)	Shared Service Asset Title	Shared Service Provider Exhibit 53 UPI (BY 2011)
*	*	*

Table II.D.3. For IT Investments, Partner Funding Strategies (\$millions):							
Partner Agency	Partner exhibit 53 UPI (BY 2012)	CY Monetary Contribution	CY “In-Kind” Contribution	CY Fee-for-Service	BY Monetary Contribution	BY “In-Kind” Contribution	BY Fee-for-Service
NONE							

Table II.D.4. Legacy Systems Being Replaced		
Name of the Legacy Investment of Systems	Current UPI	Date of the System Retirement
*	*	*

Section E: Performance Information

Table I.E.1a. Performance Metric Attributes

Measurement Area (For IT Assets)	Measurement Grouping (For IT Assets)	Measurement Indicator	Reporting Frequency	Unit of Measure	Performance Measure Direction	Baseline	Year Baseline Established for this measure (Origination Date)
Customer Results	New Customers and Market Penetration	# of agencies with user accounts in ERA	annual	# of agencies	Increase	25 agencies	2010-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	50 agencies		Not Due	2011-03-04
			2012	TBD		Not Due	2011-03-04
			2013	TBD		Not Due	2011-03-04
			2014	TBD		Not Due	2011-03-04
			2015	TBD		Not Due	2011-03-04
			2016	TBD		Not Due	2011-03-04
			2017	TBD		Not Due	2011-03-04
			2018	TBD		Not Due	2011-03-04
			2019	TBD		Not Due	2011-03-04
			2020	TBD		Not Due	2011-03-04
Processes and Activities	Innovation and	% increase in record	annual	% increase	Increase	2 schedules	2009-10-01

	Improvement	schedules submitted using ERA.					
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	Establish baseline	Did not establish baseline due to delays in program. Establishing has been delayed to FY 09.	Not Met	2010-09-17
			2009	Establish baseline	2	Met	2010-09-17
			2010	10% increase over prior year annual total schedules submitted	7	Met	2011-02-25
			2011	10% increase over prior year annual total schedules submitted		Not Due	2011-03-04
			2012	10% increase over prior year annual total schedules submitted		Not Due	2011-03-04
			2013	10% increase over prior year annual total schedules submitted		Not Due	2011-03-04
			2014	10% increase over prior year annual total schedules submitted		Not Due	2011-03-04
			2015	10% increase over prior year annual total schedules submitted		Not Due	2011-03-04
			2016	10% increase over prior year annual total schedules submitted		Not Due	2011-03-04
			2017	10% increase over prior year annual total schedules submitted		Not Due	2011-03-04
			2018	10% increase over prior year annual total schedules submitted		Not Due	2011-03-04
			2019	10% increase over prior year annual total		Not Due	2011-03-04

Customer Results	Customer Satisfaction	Increase per year in percentage of customers satisfied with ERA (2006 SP 1.2)		schedules submitted			
			annual	% increase in positive survey results	Increase	0	2009-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	Establish Baseline	Survey delayed to 2012 to accommodate more users.	Not Due	2011-02-25
			2010	Establish Baseline	Baseline not established	Not Met	2011-02-24
			2011	Establish baseline		Not Due	2011-03-04
			2012	10% increase over prior year		Not Due	2011-03-04
			2013	10% increase over prior year		Not Due	2011-03-04
			2014	10% increase over prior year		Not Due	2011-03-04
			2015	10% increase over prior year		Not Due	2011-03-04
			2016	10% increase over prior year		Not Due	2011-03-04
			2017	10% increase over prior year		Not Due	2011-03-04
			2018	10% increase over prior year		Not Due	2011-03-04
			2019	10% increase over prior year		Not Due	2011-03-04
			2020	10% increase over prior year		Not Due	2011-03-04

Processes and Activities	Efficiency	Increased % of archival electronic holdings accessible online through ERA	annual	% Increases	Increase	TBD	2009-10-01	
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated	
			2009	Baseline Not Established	Not answered	Not Met	2010-09-17	
			2010	Establish Baseline	Baseline not established	Not Met	2011-02-24	
			2011	Establish Baseline		Not Due	2011-03-04	
			2012	TBD		Not Due	2011-03-04	
			2013	TBD		Not Due	2011-03-04	
			2014	TBD		Not Due	2011-03-04	
			2015	TBD		Not Due	2011-03-04	
			2016	TBD		Not Due	2011-03-04	
			2017	TBD		Not Due	2011-03-04	
			2018	TBD		Not Due	2011-03-04	
			2019	TBD		Not Due	2011-03-04	
			2020	TBD		Not Due	2011-03-04	

Processes and Activities	Cycle Time	Median calendar days to ingest electronic holdings in ERA					
			annual	Days	Decrease	TBD	2011-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	Establish baseline		Not Due	2011-03-04
			2012	5% decrease in days from prior year		Not Due	2011-03-04
			2013	5% decrease in days from prior year		Not Due	2011-03-04
			2014	5% decrease in days from prior year		Not Due	2011-03-04
			2015	5% decrease in days from prior year		Not Due	2011-03-04
			2016	5% decrease in days from prior year		Not Due	2011-03-04
			2017	5% decrease in days from prior year		Not Due	2011-03-04
Technology	Operations and Maintenance Costs	Per megabyte cost of managing electronic records	2018	5% decrease in days from prior year		Not Due	2011-03-04
			2019	5% decrease in days from prior year		Not Due	2011-03-04
			2020	5% decrease in days from prior year		Not Due	2011-03-04
			annual	Dollars	Decrease	\$0.38	2007-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated

2007	Decreases by 1%	\$0.37	Met	2010-09-17
2008	Decreases by 1%	\$0.39	Not Met	2010-09-17
2009	Decreases by 1%	\$0.36	Met	2010-09-17
2010	Decreases by 1%	\$0.15	Met	2011-02-25
2011	Decreases by 1%		Not Due	2011-03-04
2012	Decreases by 1%		Not Due	2011-03-04
2013	Decreases by 1%		Not Due	2011-03-04
2014	Decreases by 1%		Not Due	2011-03-04
2015	Decreases by 1%		Not Due	2011-03-04
2016	Decreases by 1%		Not Due	2011-03-04
2017	Decreases by 1%		Not Due	2011-03-04
2018	Decreases by 1%		Not Due	2011-03-04
2019	Decreases by 1%		Not Due	2011-03-04
2020	Decreases by 1%		Not Due	2011-03-04

Mission and Business Central Records and Volume of electronic

annual

% increase in terabytes

Increase

82 Terabytes

2010-10-01

Results Statistics Management records ingested into
ERA

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2011	50% increase over prior year		Not Due	2011-03-29
2012	5% increase over prior year		Not Due	2011-03-04
2013	5% increase over prior year		Not Due	2011-03-04
2014	5% increase over prior year		Not Due	2011-03-04
2015	5% increase over prior year		Not Due	2011-03-04
2016	5% increase over prior year		Not Due	2011-03-04
2017	5% increase over prior year		Not Due	2011-03-04
2018	5% increase over prior year		Not Due	2011-03-04
2019	5% increase over prior year		Not Due	2011-03-04
2020	5% increase over prior year		Not Due	2011-03-04

* - Indicates data is redacted.